

Electronic Acknowledgement Receipt

EFS ID:	3516693
Application Number:	10627921
International Application Number:	
Confirmation Number:	3612
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
First Named Inventor/Applicant Name:	Steven M.H. Wallman
Correspondence Address:	Bradley J. Meier KENYON & KENYON Suite #700 1500 K Street, N.W. Washington DC 20005 US 202-220-4200 -
Filer:	Shawn W. Odowd/Brian Mudge Charisma Ward
Filer Authorized By:	Shawn W. Odowd
Attorney Docket Number:	10392/460044
Receipt Date:	25-JUN-2008
Filing Date:	28-JUL-2003
Time Stamp:	15:58:20
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1560

RAM confirmation Number		1216			
Deposit Account		110600			
Authorized User					
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		ResponsetoFOA.pdf	890831	yes	16
			8fdd1d17afed2fe49d8a6524fc95b53e347eff52		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Claims		2	5	
	Applicant Arguments/Remarks Made in an Amendment		6	16	
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	RCE.pdf	735689	no	3
			6a880021afcf3f6cada3bf459449207fb99bf86		
Warnings:					
Information:					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8546	no	2
			14604c6583505cf5d73bf33bee8a491ada302013		
Warnings:					
Information:					
Total Files Size (in bytes):			1635066		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.